

# Software Architecture

Architectural Design and Patterns. Standard Architectures.

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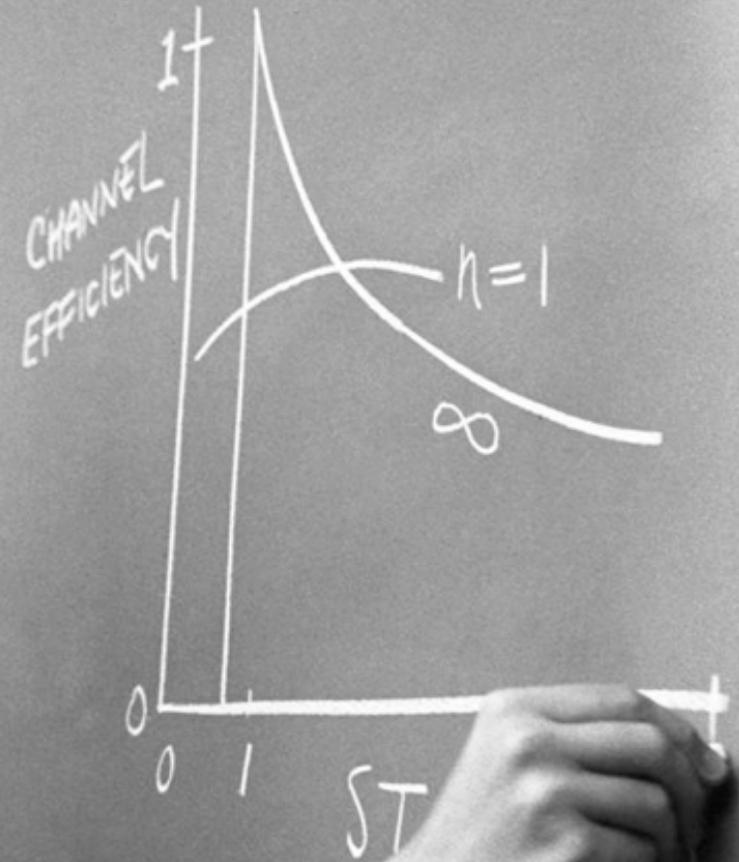


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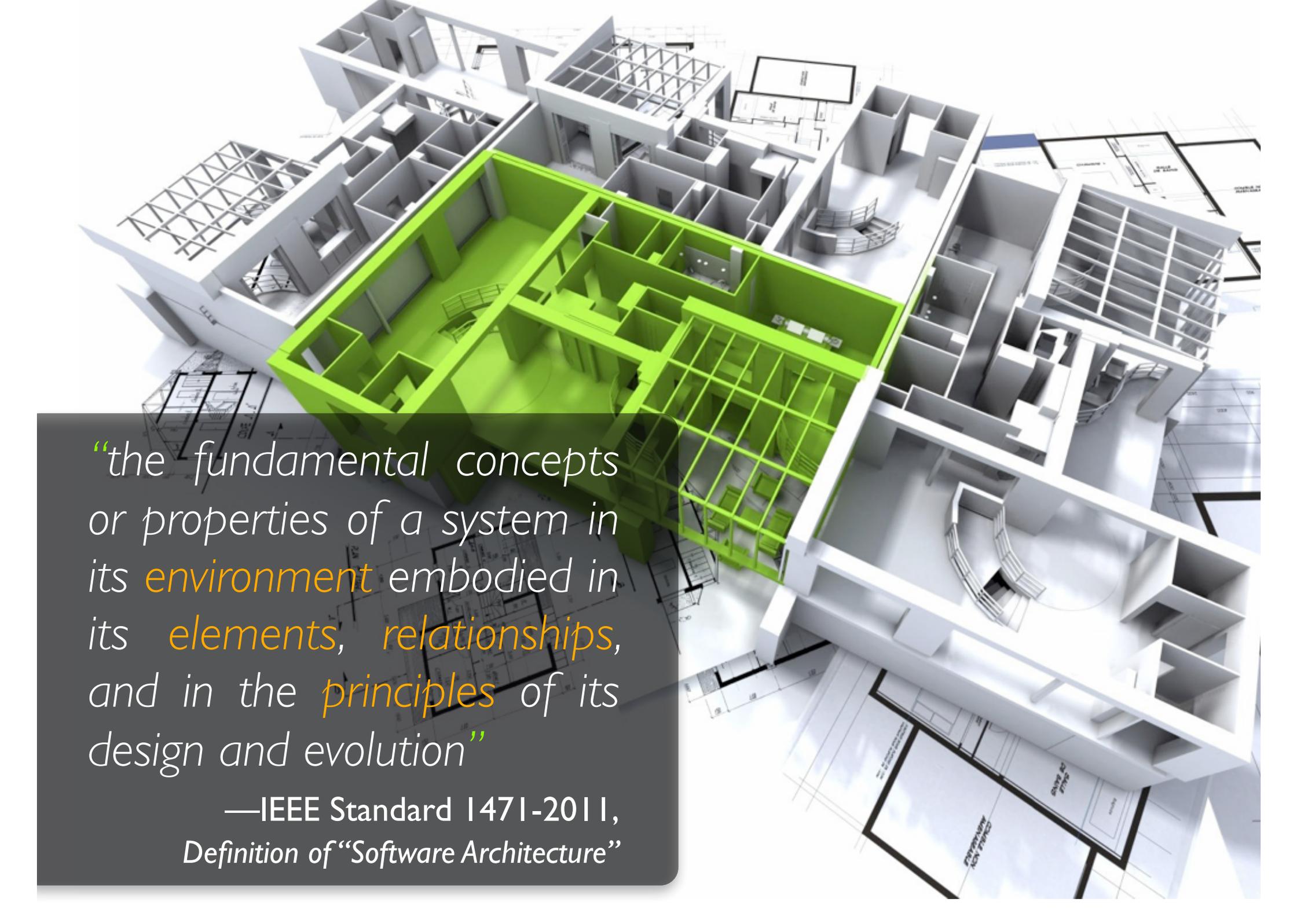
*“Architecting, the planning and building of structures, is as old as human societies – and as modern as the exploration of the solar system.”*

—Rechtin, 1991



# Motivation

*If the size and complexity of a software system increase, the global structure of the system becomes more important than the selection of specific algorithms and data structures.*

A 3D architectural rendering of a building's interior structure. The building is shown in a cutaway view, revealing multiple levels and rooms. A central section of the building is highlighted in a vibrant green color, while the rest of the structure is rendered in white and light gray. The rendering includes details like stairs, railings, and structural beams. In the background, there are faint architectural blueprints and technical drawings, suggesting a design or engineering context.

*“the fundamental concepts or properties of a system in its **environment** embodied in its **elements**, **relationships**, and in the **principles** of its design and evolution”*

*—IEEE Standard 1471-2011,  
Definition of “Software Architecture”*

# About Software Architects



So what does an Software Architect actually do?

# About Software Architects

## Job Profile (typically):

Hierarchically somewhere between Dev and Team Lead

Often writes some code, but usually not his core job

Needs to focus on the **big picture**

- And still understand detailed technical issues

Responsible for **strategic decisions**

- E.g., which technology or architectural style to use

Responsible for **decomposing** a system into manageable parts

Responsible for integration of said parts after implementation

Responsible for **interface definitions**

# About Software Architects

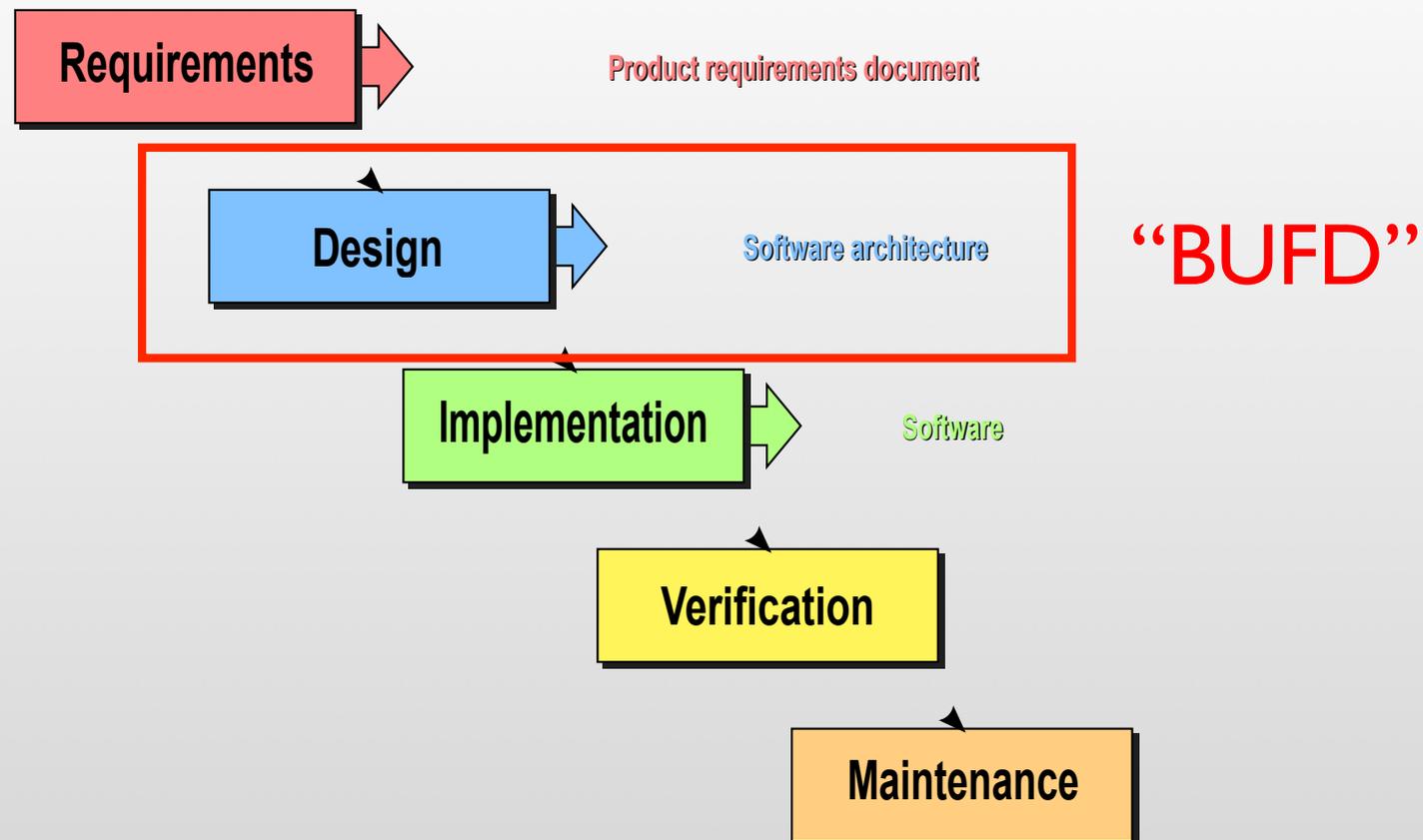
See also:

Ph. Kruchten, “**What do software architects really do?**”  
*Journal of Systems & Software*, vol. 81, pp. 2413-2416, 2008  
doi: **10.1016/j.jss.2008.08.025**.

(mandatory reading for this class)

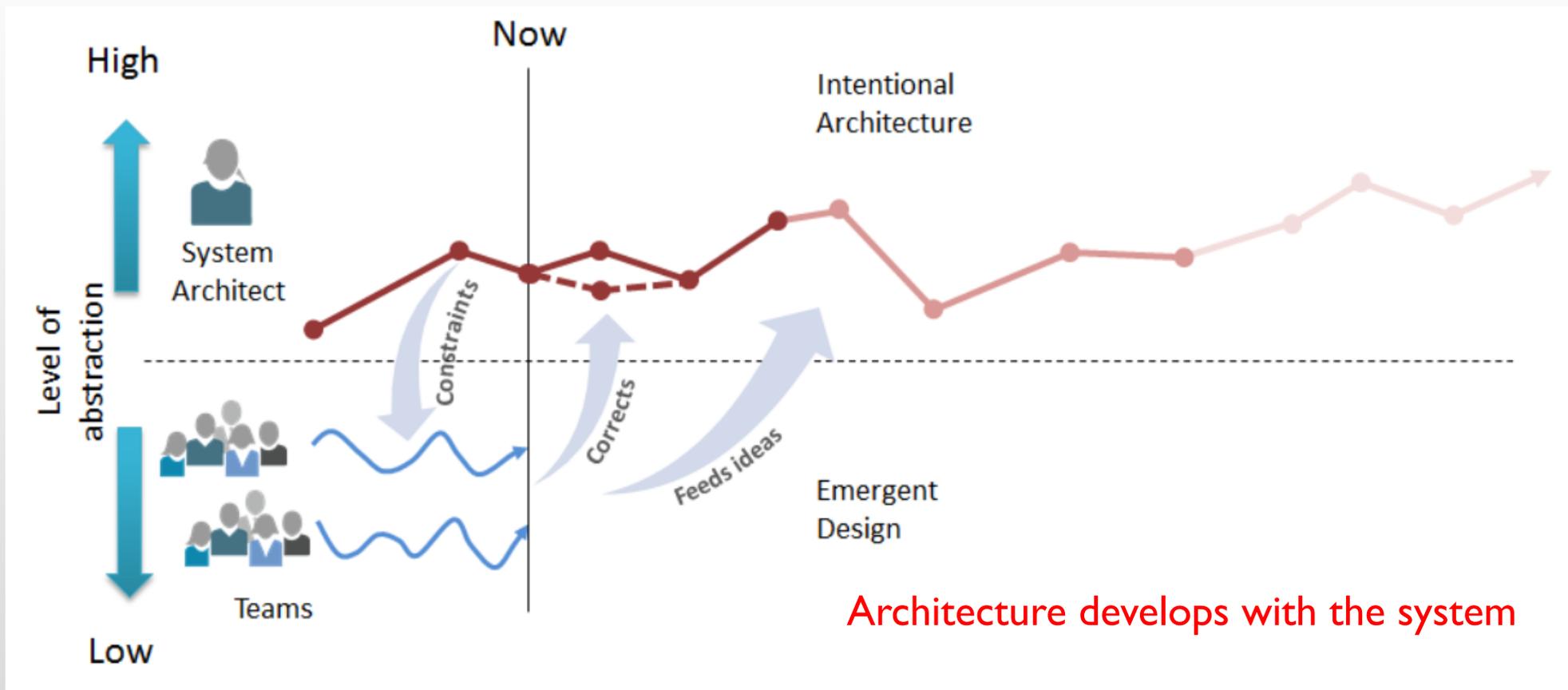
# Software Architecture in the Dev Process

## Architecture in the Waterfall Model



# Software Architecture in the Dev Process

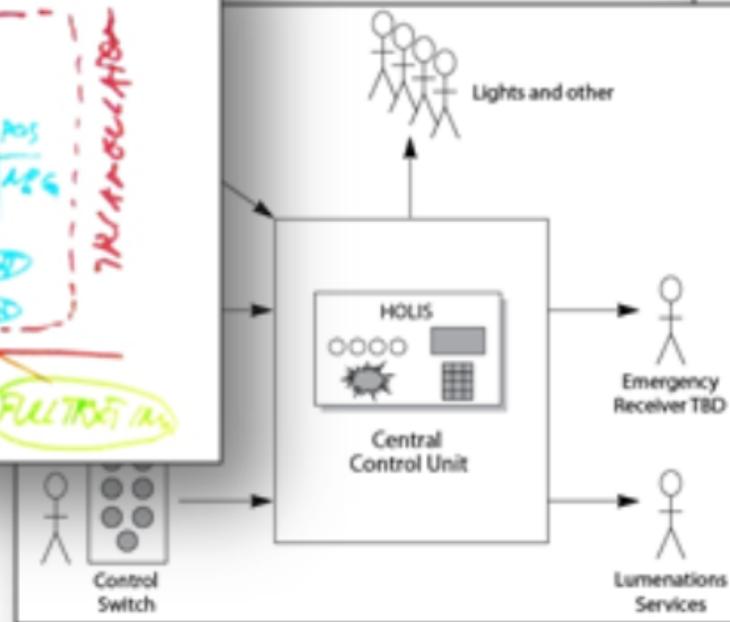
## Architecture in an Agile Team



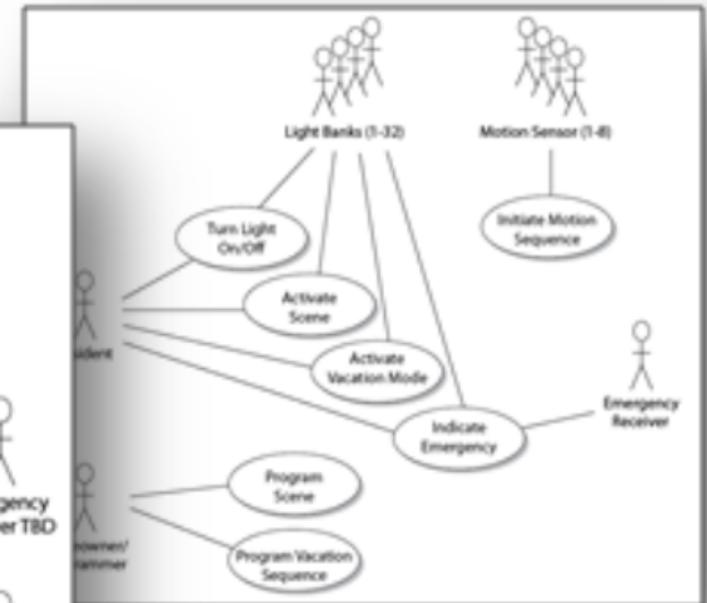
# Example Architecture



Freeform  
Diagrams



Architectural  
Description  
Languages



UML

# Basic Elements of a Software Architecture

Components

Connectors

Constraints

**Rationale**

# What is a Component?

Unit of **decomposition** of a system

Can be a software package, Web service or module that encapsulates a set of **related functions** or **data**.

# What is a Connector?

Architectural element that models **interactions** among components and the **rules** that govern those interactions

A connector provides interaction duct(s) and transfer of control/data

# Components vs. Connectors

Components provide **application-specific** functionality

Connectors provide **application-independent** interaction mechanisms

# Connectors: Interaction Types

## Simple interactions

- Procedure calls
- Shared variable access

## Complex interactions

- Client-server protocols
- Database access protocols
- Asynchronous event multi-cast

# Roles of Connectors

## Protocol specification

- Types of interfaces
- Assurances about interaction properties
- Rules about interaction ordering
- Interaction commitment (e.g., performance)

## Nature of Roles

- Communication
- Coordination
- Conversion
- Facilitation

# Connectors as Converters

Converters enable the interaction of independently developed components that are mismatched in:

- Type
- Number
- Frequency
- Order

# Connectors as Facilitators

Facilitators enable interaction of components by mediation or streamlining. They can:

- govern access to shared information
- ensure proper performance profiles (e.g., load balancing)
- provide synchronization mechanisms (e.g., monitors, guards for critical sections)

# What Constraints are there?

Components must be constrained to provide that:

- the requirements are met
- the required functionality is achieved
- no functionality is duplicated
- the required performance is achieved
- modularity is realized

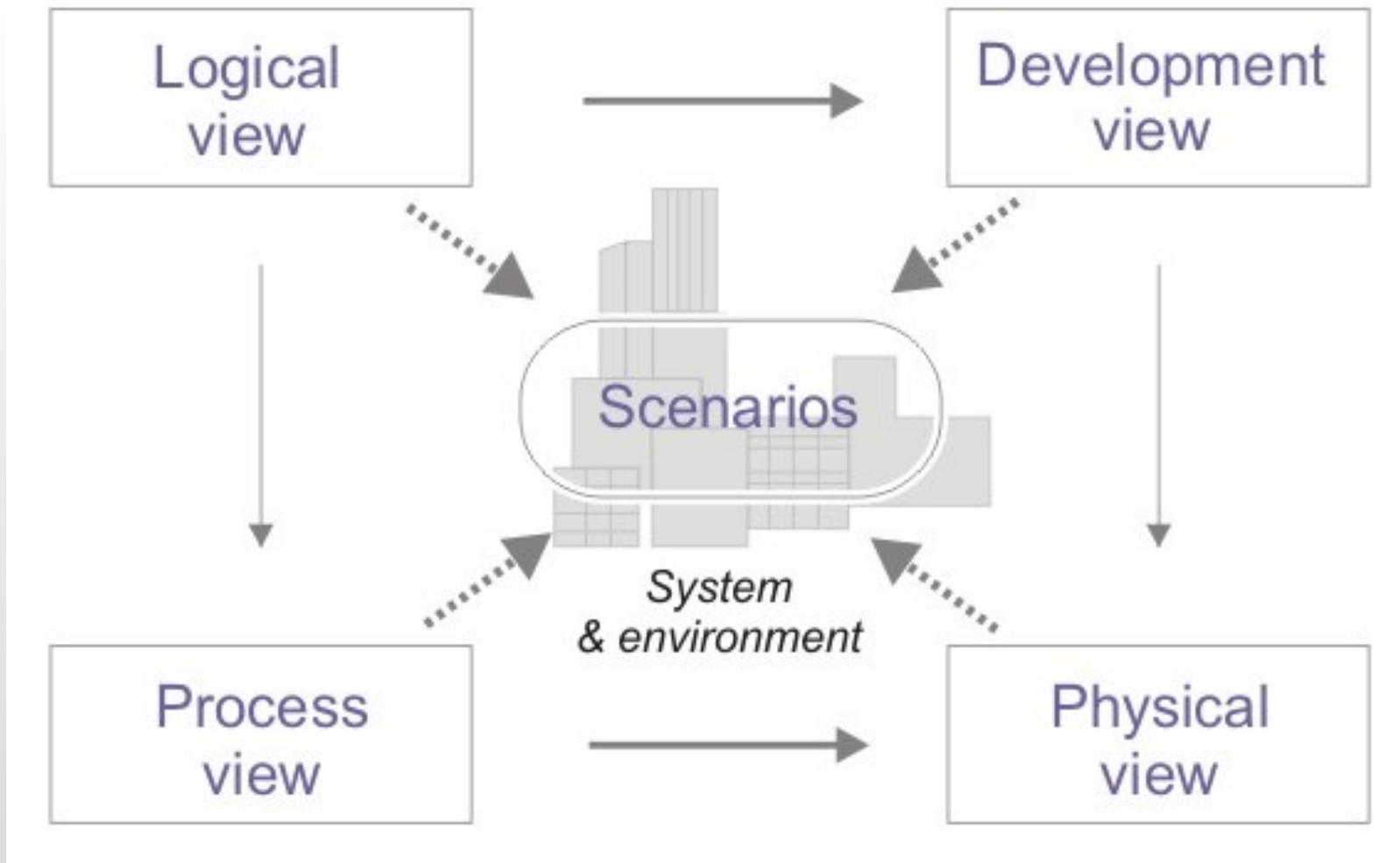
# What is the Rationale?

The rationale is the “why?”

For multi-version software, its design rationale must be **documented**:

- Decomposition into components
- Connections between components
- Constraints upon components and connections

# The 4+1 View Model of Software Architecture



# Logical (Conceptual) View

Functional requirements

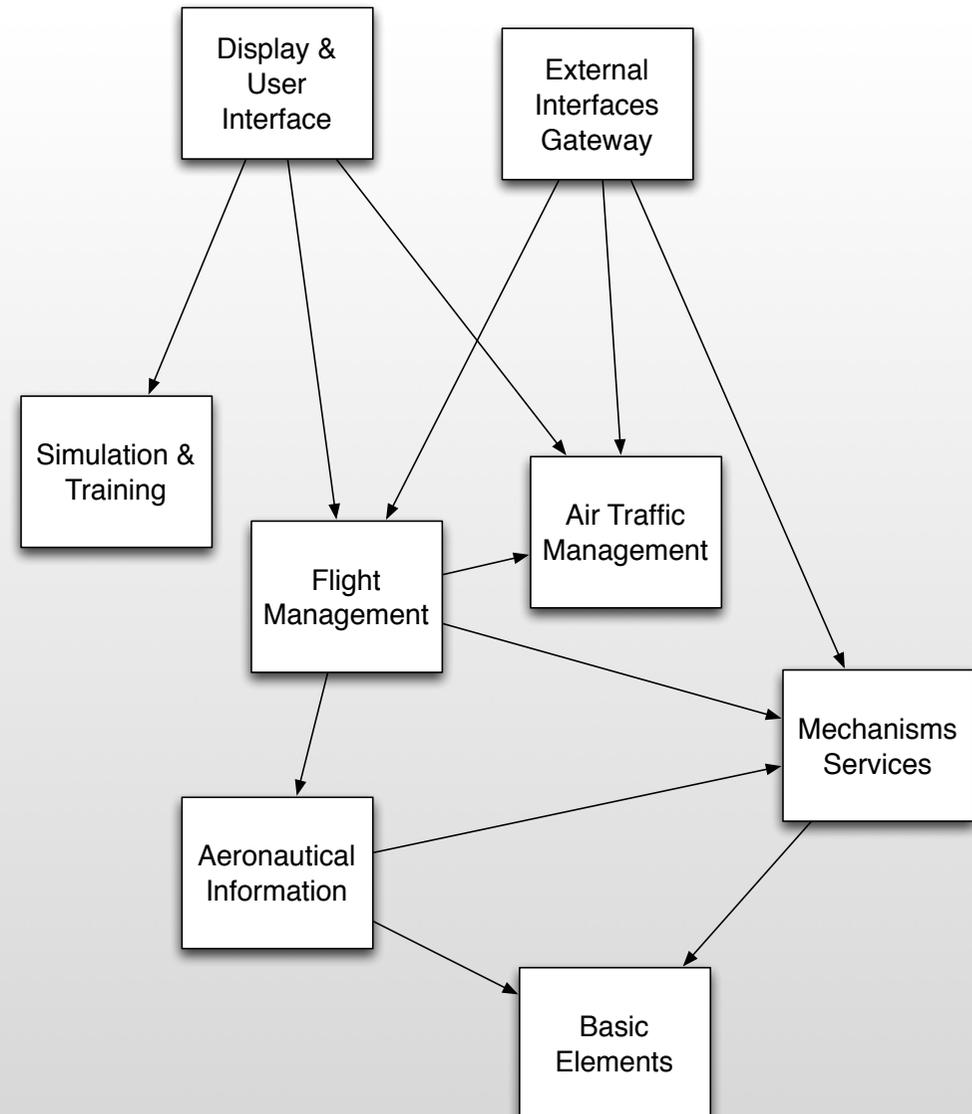
Orientation on problem domain

Communication with experts

Independent of implementation decisions

# Logical View: Example

Air traffic management system



# Development (Module) View

## Organization of modules

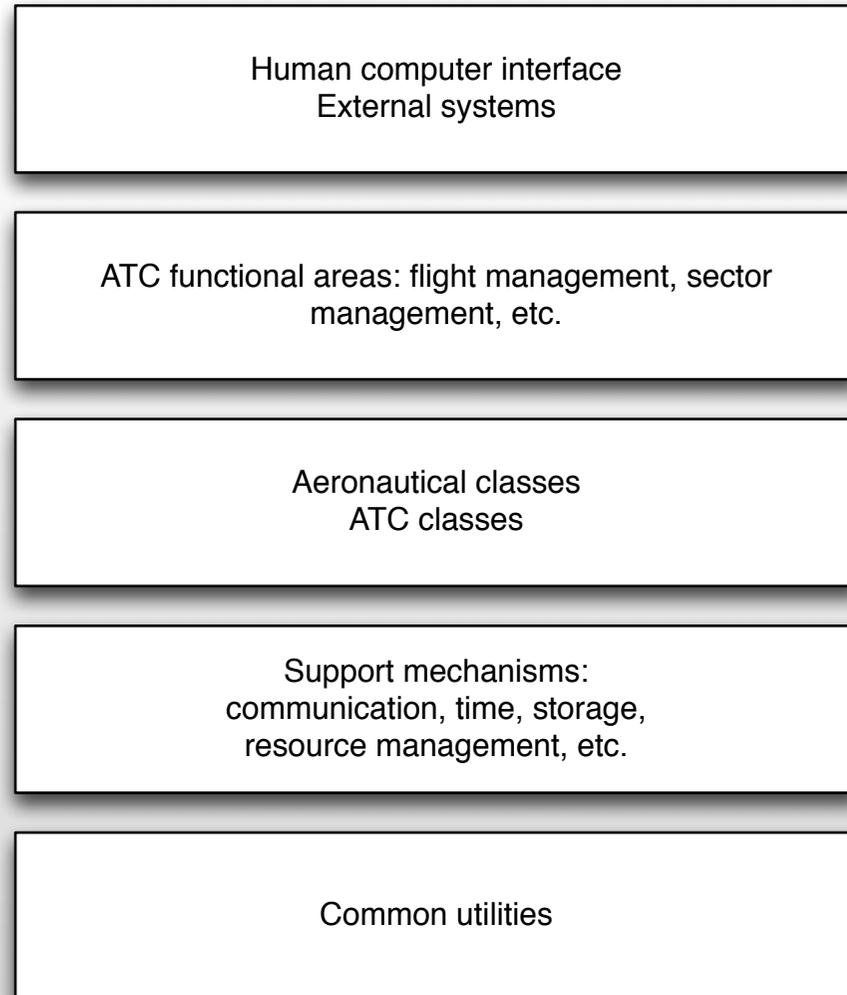
- Subsystems
- Coherent parts in the development
- Allocation of effort (development, maintenance)

## Organization in hierarchical layers

- OSI communication protocols

# Development View: Example

Air traffic  
management  
system



domain-specific

domain-independent

customer-specific

# Physical View

Mapping of software onto existing/  
available hardware

Non-functional requirements

- Performance
- System availability
- Fault-tolerance
- Scalability

# Physical View in Cloud Computing

**Note that the term *Physical View* comes from a time before virtualization**

In the cloud, your **Physical View** will map your components to virtual resources

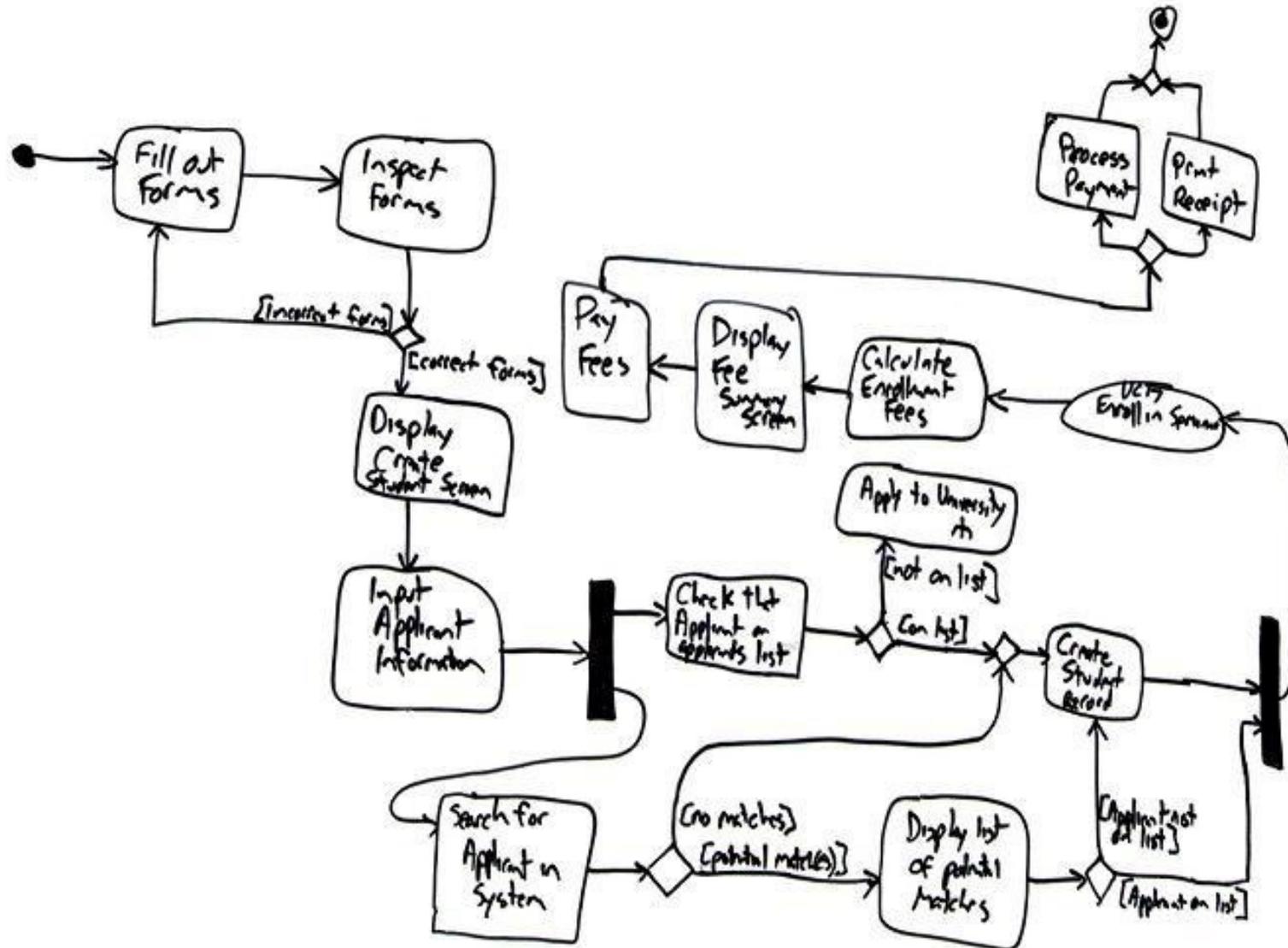
# Process View

Dynamic aspects of the run-time processes

- Process creation
- Synchronization
- Concurrency

**“What happens at system runtime”**

# Process View: Example



# Scenarios

Instances of uses cases show that the elements of the four views work together seamlessly

## **Example:**

User stories that run through all 4 views ...

... and show the importance and rationale of all elements of all 4 views

# Architectural Styles and Patterns I

An **Architectural Pattern** is a named collection of architectural design decisions that are applicable to **a recurring design problem** parameterized to account for different software development contexts in which that problem appears.

An **Architectural Style** is a named collection of architectural design decisions that (1) are applicable in a given **development context**, (2) constrain **architectural design decisions** that are specific to a particular system within that context, and (3) elicit beneficial qualities in each resulting system.

# Architectural Styles and Patterns II

Both provide a common vocabulary to talk about different aspects of software architecture

A **pattern** is a way of solving a common architectural problem

A **style** is just a name given to a common architectural design

# Architectural Styles

An architectural style defines a **family** of software systems and their structural organization

It defines **components**, **connectors**, and **constraints** for their usage in concrete software systems

# (Some) Common Architectural Styles

## **Program structure**

- Layers
- Client / Server
- Peer-to-Peer
- MVC

## **Data Flow**

- Pipes and Filters
- Event-Driven Architecture
- Blackboard (Factory / Worker)

# (Some) Common Architectural Styles

**(Many) books are written about architectural styles and patterns.**

**Some of the most well-known ones:**

**Buschmann et al.:**

Pattern-Oriented Software Architecture, Vol. 1, 2, 4

**Fowler:**

Patterns of Enterprise Application Architecture

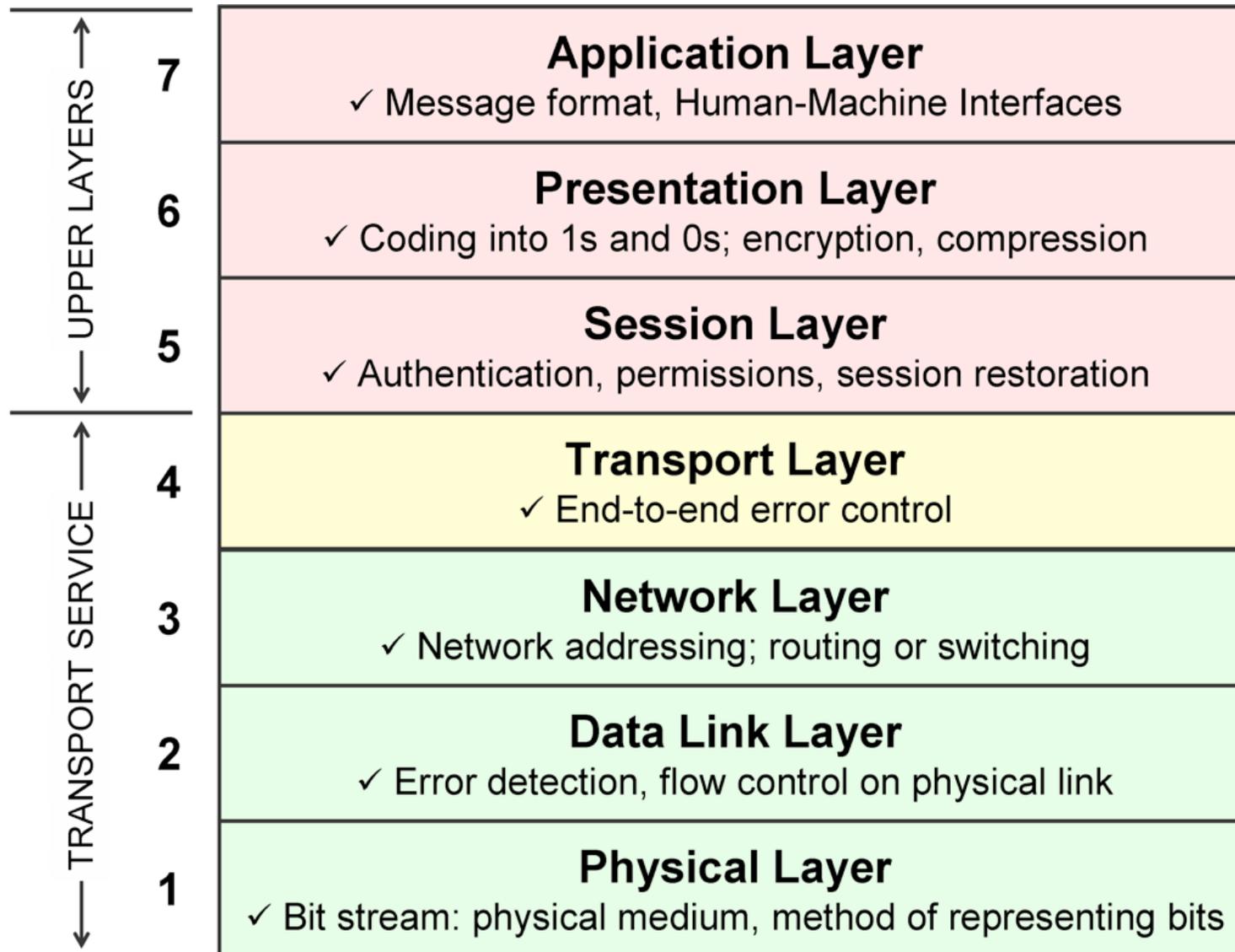
# Layered Architecture

Hierarchically organized system

**Layers** are components

Layer **interfaces** and **protocols** are connectors

# Layers: Example



# Layers: Advantages

Divide and conquer

Locality of changes

Reusability

# Layers: Disadvantages

Layers don't encapsulate everything well

Layers *may* harm performance

Additional layers may increase development effort

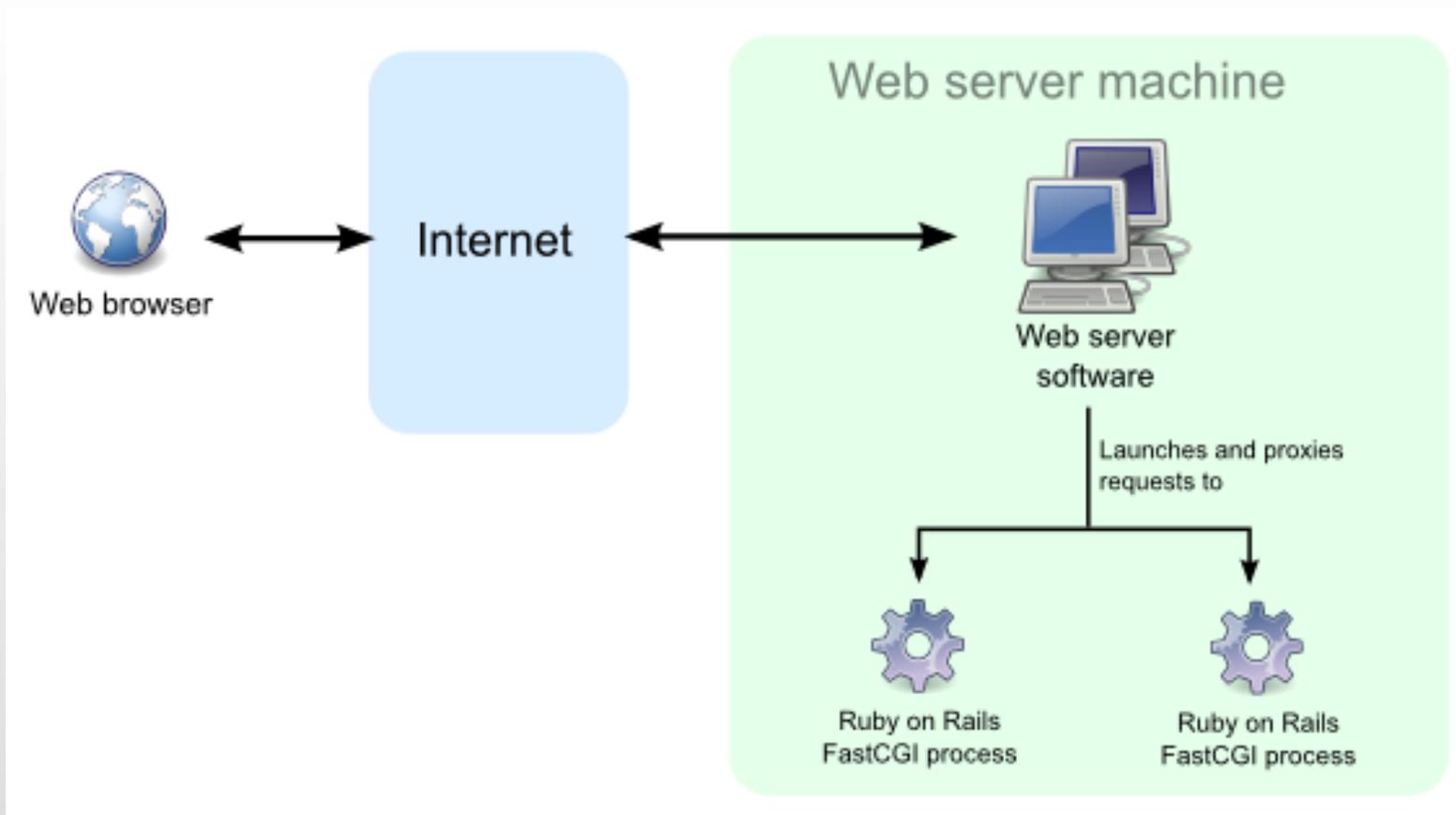
# Client / Server

**Servers** are actively all the time and passively wait for requests

**Clients** are active sporadically and trigger servers

Servers are (usually) few and fat, while clients are numerous and thin

# Client / Server Example



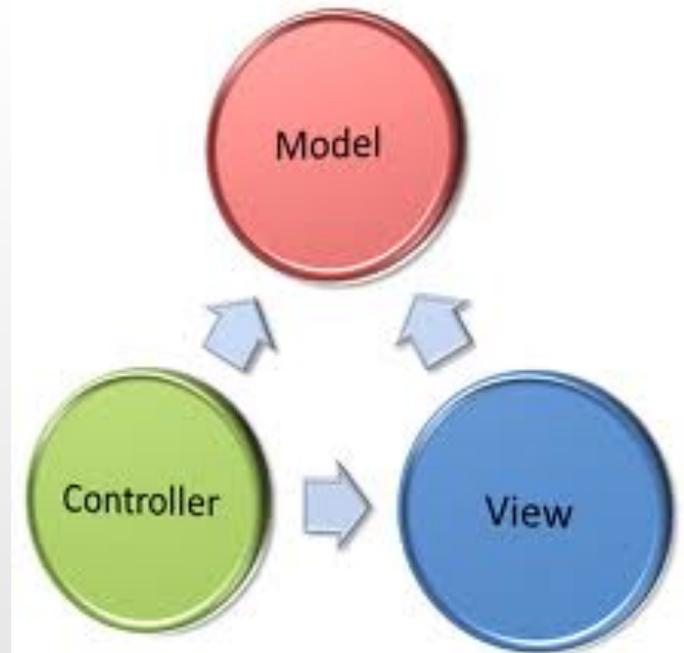
# MVC

## Model-View-Controller

Models hold data

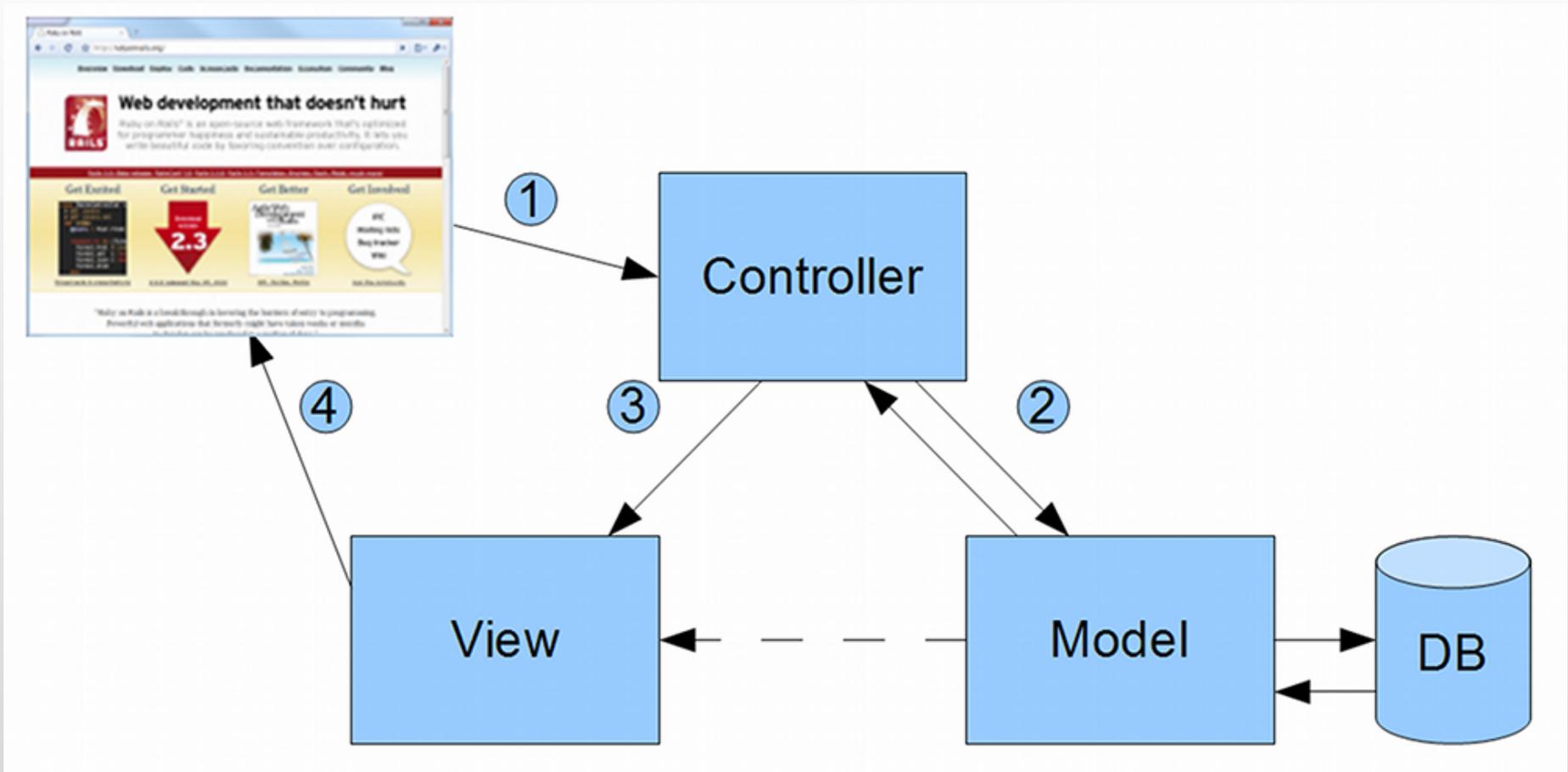
Views present data

Controllers implement business logics



# MVC - Example

## Ruby on Rails (Ruby-based Web framework)



# MVC: Advantages

Separation of concerns

Supports TDD (Test-Driven Development) well

Maps well to REST (Representational State Transfer) principles

# MVC: Disadvantages

Usually, every change (e.g., adding new feature) touches models, views, and controllers

It is not usually possible to assign related model, view and controller to different teams

# Client / Server: Advantages

Simple, **time-tested** style

Particularly suited for distributed applications

Updates to the server are easy to roll out (allows e.g., for **partial deployment**)

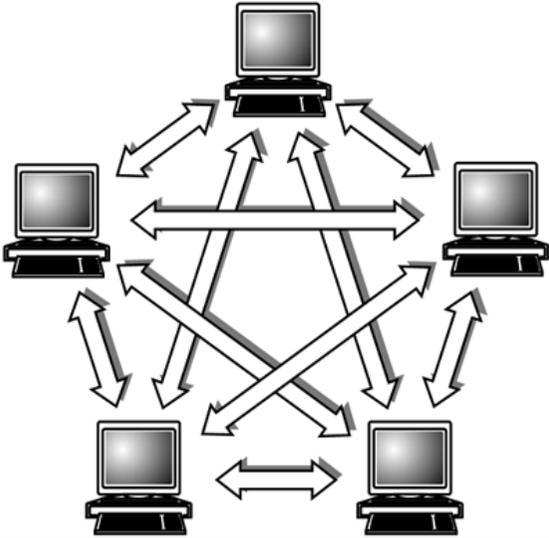
Clients can often be thin and run on cheap hardware

# Client / Server: Disadvantages

Servers overload easily

Servers are a single **point of failure**

# Peer-to-Peer



Components are **both server and client** at the same time

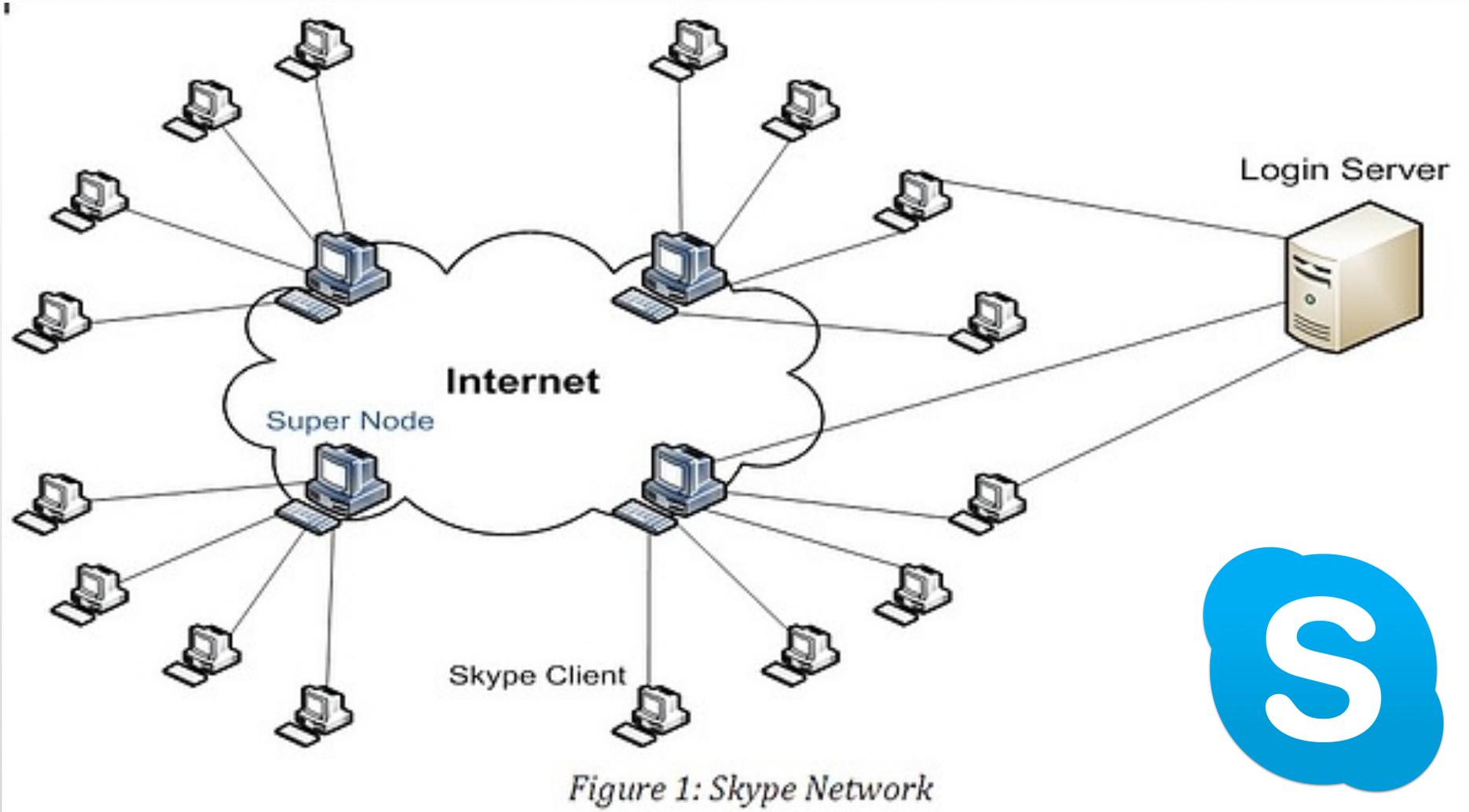
Everybody is (in principle) communicating with everybody

Two styles:

“pure” P2P

“hybrid” P2P with central servers or superpeers

# Peer-to-Peer Example



# Peer-to-Peer Advantages

Each new participant also adds new resources —> no natural bounds to system scale (**scalability!**)

Usually few or no **single point of failure**

Usually no single point of control

# Peer-to-Peer: Disadvantages

In pure P2P, **message flooding** is a problem

**“Soft” state** between peers

Protocols tend to get complicated

Software updates often not easy

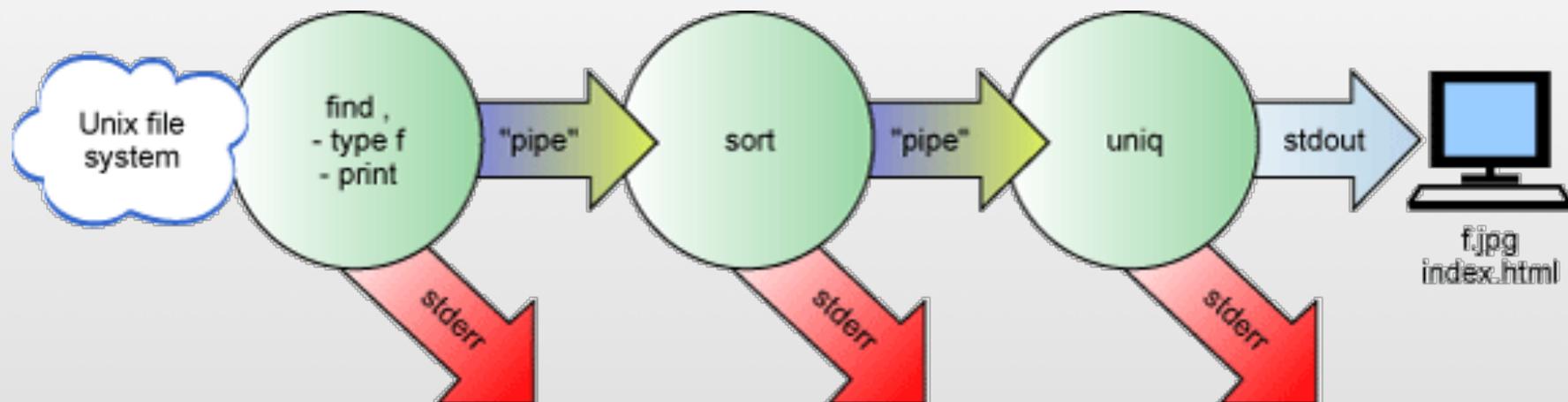
# Pipes and Filters

**Filters** are the components that read an input data stream and transform it into an output data stream

**Pipes** are the connectors that provide the output of a filter as input to another filter

# Pipes and Filters: Example

```
find . -type f -print | sort | uniq
```



# Pipes and Filters: Advantages

Simple; no complex component interactions

Filters as black-box; substitutable

High maintainability and reusability of individual components

# Pipes and Filters: Disadvantages

Whether separation into processing steps is feasible strongly depends on application domain and problem

Filters require a common data format

Redundancy in parsing/unparsing

Process overhead

# Event-driven Architecture (EDA)

Components are event emitters  
(publishers, agents) or consumers  
(subscribers, sinks)

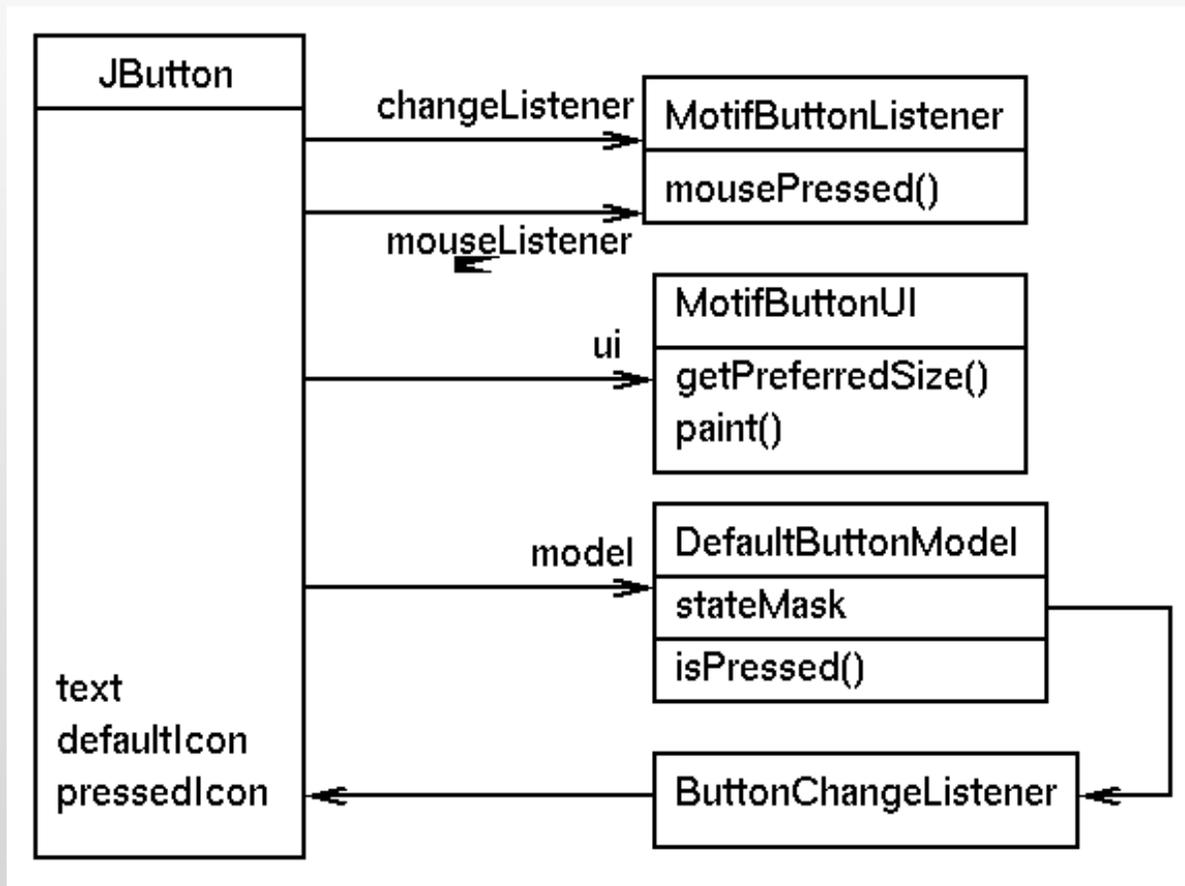
An event dispatcher distributes events to  
subscribers

—> fundamentally **asynchronous**

# EDA Example

## Java Swing

(or more generally - most GUI frameworks)



# EDA: Advantages

Components are loosely coupled

High (runtime) extensibility and reusability; components can be easily exchanged

Especially apt to unpredictable and asynchronous environments

Often “feels” fast and responsive

# EDA: Disadvantages

No guarantees regarding execution or order of event processing

Data exchange other than with events problematic

Component behavior is tightly coupled with execution environment

Difficult to “grok”

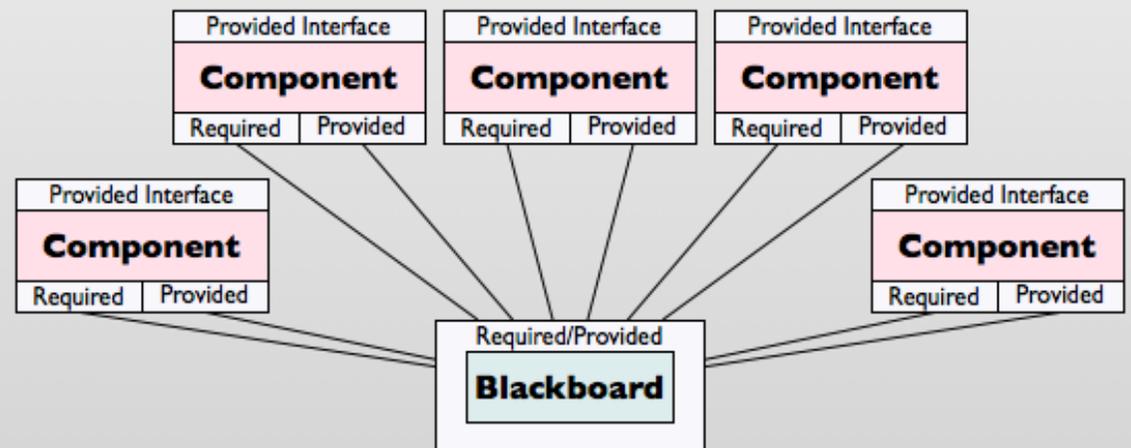
# Blackboard

## Two kinds of components:

- Central data management
- Independent components for computation (knowledge sources)

## Activation of computation:

- Database trigger
- Actual state



# Blackboard: Advantages

High changeability and maintainability

Reusable knowledge sources

**Factory/Worker:** support for fault-tolerance, robustness and redundancy because of loose coupling of workers

# Blackboard: Disadvantages

Hard to test

Difficult to establish good control strategy

Low efficiency

# Failure to Maintain Architectural Discipline?



“Big Ball of Mud”

# Failure to Maintain Architectural Discipline?



## Common reasons:

- “pragmatism” (we used whatever made sense at the time)
- time pressure
- “agile” / “lean” (minimal product)
- architecture follows organizational structure

# Conclusions

For non-trivial software systems, a proper architecture needs to be designed (either upfront or in parallel to the code)

Architectures consist of **components**, **connectors** and **constraints** (+ rationale)

The **4+1 Model** provides means to derive and document such an architecture

**Architectural styles** provide software engineers a **common language** for describing and reasoning about software architecture

# Next Weeks

Next week:

- We will look at components and (Micro-) services as basic architectures for distributed systems
- The week after we will discuss ATAM, a concrete evaluation model for software architectures