Software Wartung und Evolution Teil 4: Software Evolution

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Evolution: General Definition 1/2

- Evolution is the process of progressive change over time in characteristics, attributes, properties of some material or abstract, natural or artificial, entity or system or of a sequence of these
 - Changes are progressive when they result in a definable trend of, for example, increasing value, growing precision or better fit to a changing domain or context
 - Changes are not by chance, incidental, indeterministic, stochastic; there must be a trend



Evolution: General Definition 2/2

- Entities include objects or collections of objects (e.g. population) such as natural species, societies, cities, artefacts, concepts, theories, ideas or systems of these
- Change process will, in general, be continual with relatively slow rate of change, or discrete with individual incremental changes, small relative to entity as a whole
 - Source: [Lehman and Ramil 2001]



Software Evolution

- Keine genormte Definition
- Nach Lehman/Ramil
 - Software Evolution is the process of continual fixing, adaptation, enhancement to maintain stakeholder satisfaction
 - In response to changes in domains, needs, expectations
- Nach Bennet/Rajlich
 - Maintenance means general post-delivery activities
 - Evolution refers to a particular phase in the staged model where substantial changes are made to the software



Software Evolution

- Nach Godfrey
 - Evolution is what happens while you are busy making other plans
 - Maintenance is the *planned* set of tasks to effect changes
 - Evolution is what actually happens to software



Types of Programs

- Nach Lehman, Belady 1980, pp. 1060-1076
- S-type Programs ("Specifiable")
 - Problem can be stated formally and completely
 - Acceptance: Is the program correct according to its specification?
 - This software does not evolve
 - A change to the specification defines a new problem, hence a new program



Types of Programs

P-type Programs ("Problem-solving")

- Imprecise statement of a real-world problem
- Acceptance: Is the program an acceptable solution to the problem?
- This software is likely to evolve continuously
 - Because solution is never perfect, and can be improved
 - Because the real-world changes and hence the problem changes



Types of Programs

E-type Programs ("Embedded")

- A system that becomes part of the world it models
- Acceptance: Depends entirely on opinion and judgement; criterion is the satisfaction of stakeholder needs
- This software is *inherently* evolutionary
 - Changes in the software and the world affect each other





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Software Systeme als Feedback Prozesse

- Der Entwicklungs- und Evolutionsprozess eines Software Systems wird von Lehman als
 - Multi-level
 - Multi-loop
 - Multi-agent
 - Feedback System bezeichnet.
- Feedback technisch: Die Rückführung eines Ausgangssignal als Eingangssignal in ein System
 - ("Feedback: The return of a portion of the output, or processed portion of the output, of a (usually active) device to the input")







- In den späten sechziger Jahren untersuchen Lehman und Belady die Release History Daten von IBM OS/360 mittels bestimmter Metriken und stoßen auf Eigenschaften im Evolutionsprozess, die bei anderen Systemen in späteren Untersuchungen ebenfalls nachvollzogen werden können
- Diese Eigenschaften scheinen Gesetzmäßigkeiten zu folgen und wurden als "Laws of Software Evolution" postuliert
- Die "Laws of Software Evolution" ergeben sich aus der Beobachtung von E-type programs





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- Warum "Gesetze"?
 - Die entdeckten Phänomene der Evolution werden als Gesetze bezeichnet, da sie technologie- und prozessunabhängige Mechanismen bezeichnen



- Nach Lehman, Belady 1980, pp. 1061-1063 und spätere Publikationen
- (1) Law of continuing change
 - "A system that reflects some external reality undergoes continuing change or becomes progressively less useful
 - The change process continues until it becomes more economical to replace it by a new or restructured system."
- (2) Law of increasing entropy (or: complexity)
 - "The entropy of a system increases with time unless specific work is executed to maintain or reduce it."



- (3) Fundamental law of software evolution
 - Software evolution is self-regulating with statistically determinable trends and invariants
- (4) Conservation of organisational stability (invariant work rate)
 - During the active live of a software system the average effective global activity rate is roughly constant



(5) Conservation of familiarity

- In general, the average incremental growth rate (growth rate trend) tends to decline
 - As an E-type system evolves all associated with it, developers, sales personnel, users, for example, must maintain mastery of its content and behaviour to achieve satisfactory evolution. Excessive growth diminishes that mastery.

(6) Continuing growth

The functional content of E-type systems must be continually increased to maintain user satisfaction



(7) Declining quality

- The quality of E-type systems will appear to be declining unless they are rigorously maintained and adapted to operational environment changes
- (8) Feedback System
 - E-type evolution processes constitute multi-level, multi-loop, multi-agent feedback systems and must be treated as such to achieve significant improvement over any reasonable base



Lehman's Approach: Formal

- Lehman describes software evolution on a formal level
 - Based on observations
 - Empirical generalisations are made
 - They provide basis for axioms in a formal theory
 - Possible inferences are proposed
 - Derived from the formal models
 - Basis for potential theorems in formal theory
 - Try to fully prove theorems



Formal Models of Software Evolution: Growth

- Inverse Square Model [Turski 1996]
 - $\underline{S}_{\underline{1}} = S_1$
 - $\underline{S}_{\underline{i}} = \underline{S}_{\underline{i}-1} + e / (\underline{S}_{\underline{i}-1})^2$
 - S ... Size (often number of modules)
 - i ... Release sequence number (1..n, n = max release nr.)
 - e ... Model parameter
 - S_i and \underline{S}_i stand for actual and predicted size at release *i*
- Other model: Normalised size as a function of the normalised work rate [Lehman 2001]
 - $\underline{S}_i / S_1 = (H_i / H_1)^{1/g'}$ for $i \ge 1$
 - *H* ... Work rate as indirect effort indicator (e.g. elements handled)
 - *g´*... *Model parameter*



Formal Models: An Example

- Next slide shows the normalised size as a function of the normalised work rate
 - size measured in number of modules
 - work rate measure in modules handled
 - For four industrially evolved systems
 - Three different organisations
 - Three different application domains
- Data taken from release data history





Formal Models: Use?

- Formal Models provide means for
 - Evolution planning
 - Simulation, visualisation, release planning
 - Process Management and Control
 - Long term prognosis
 - Overall process improvement
 - Tools



Research Areas in Software Evolution

- The driving force guiding the work will be the search for formally supported techniques:
 - logic-based declarative description and reasoning techniques
 - formal models for software evolution based on rewriting systems
 - software metrics
 - visualisation techniques
 - generation of design documents and source code
 - extraction of design and analysis documentation
 - migration to component-based and web-based systems
 - the use of meta-models as a general integration technique



Analyzing Software Evolution Using Release History Data

http://seal.ifi.unizh.ch/projects/











What is Software Evolution Analysis?

- Investigating the evolution of a software system to identify potential shortcomings in its architecture or logical structure.
- Structural shortcomings can then be subject to reengineering or restructuring.



Motivation





Software Evolution Analysis

- **Goal**: learn from history about evolution of a system
- Inputs:
 - Version information (e.g. CVS, SVN, ClearCase)
 - Change information (author, date/time, size, messages, etc.)

Problems:

- Reveal common change behavior of classes
- Identify logical coupling among classes
- Evaluate modules and the entire system
- Identify spots of design erosion, architectural decay, etc.



The QCR-approach

- Quantitative Analysis (QA)
 - analyzes the change and growth rates of modules (classes) across releases and provides outliers
- Change Sequence Analysis (CSA)



[Riva, Gall, Jazayeri 99]

- identifies common change history of modules and provides structural dependencies based on common change sequences (e.g. <1,3,5,6,7,8>)
- Relation Analysis (RA)
 - compares modules (classes) based on CVS change history information and reveals module dependencies, ie. logical couplings
 - units of interest = classes
 - change information = CVS data



Quantitative Analysis

Analyzing quantitative aspects of software evolution



Quantitative Evolution Analysis

Goals:

- Identify potential shortcomings of a Telecommunication Switching System (TSS) by tracking its historical development
- Use database containing structural information about 20 releases of the TSS delivered over a period of 2 years
- Focus on macro-level:
 - investigate only structural information about each release (version numbers)
 - no source code metrics at all



QA: Approach

Approach:

- Observe software evolution via release history
- Detect logical coupling via
 - Change Sequence Analysis, and
 - Change Report Analysis
- Visualize software release histories using color and third dimension



Telecommunication Switching System (TSS)





The Release Database

- For each release stored:
 - Entries for elements at system, subsystem, module, and program level together with relations among them
 - Systems and programs are characterized by version numbers
 - Program version numbers are independent of the system's version number
 - Changes result in incremented version number(s)
- Each system release consists of
 - 8 subsystems, 47 to 49 modules, and 1500 to 2300 programs.



Software Evolution Observations

- Problem: extract useful information from the PRDB to reason about the evolution of the TSS
- We focus on the following system properties:
 - Size of system, subsystem, or module: number of programs (as the module "unit")
 - Change rate: percentage of programs (identified by a different version number)
 - Growth rate: percentage of programs added (or deleted) from one release to the next
- Evolution of *whole* system and *particular* subsystems


TSS: System size over time





TSS: Newly added programs per release





TSS: Change and growth rates





TSS: System Observations

- High growth rate
 - Increased from initially 1499 programs to 2303 at the end
 - 53% increase in 21 months
- Findings
 - The size of the system is growing linearly
 - Between 2.00 and 5.00 and in 7.00 major activities
 - Only a few added programs in 8.00
 - The structure of the whole system has become stable



TSS Subsystems / Change and growth

Subsystem	Change rate (%)	Growth rate (%)							
А	11	18							
В	16	18							
С	25	193							
D	5	78							
Е	8	8							
F	33	-25							
G	29	157							
Н	20	3							





Evolution of Subsystem C

- Characteristics of Subsystem C:
 - highest growth rate and
 - one of the highest change rates among all subsystems
 - therefore most likely candidate for restructuring





Subsystem C: Sizes of modules





Subsystem C: Change rates of modules





Interpretation of Data

- Development of the whole system:
 - becomes stable over the twenty releases
 - change and growth rates decrease as do the number of added programs per release
 - structure seems fine

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- Development of subsystems (Subsystem C):
 - the picture changes significantly!
 - high growth and change rate (Modules B & C)
 - similar names with only different endings
 - → copying and slightly modifying!



Change Sequence Analysis

Detection of Logical Coupling



Detection of Logical Coupling

- Change Sequence of a program <1 2 3 5 7 11>
 - program changed in releases 1, 2, 3, 5, 7, and 11
 - 5 changes
- Subsequences as contiguous parts
 - <1 2 3>, <3 5 7>, etc.
- Changes are represented by a (sub-) sequence
- Identify potential "logical couplings" among programs



Change Sequence Analysis

Approach:

- compare change sequences of different modules
- identify patterns of change
- identify common "change sequences" (patterns)
- Result: potential logical couplings



Coupling among subsystems





Change Report Analysis

- Goal / Approach:
 - verify logical coupling
 - examine change reports of modules with the same change sequence
 - same reason for change defines logical coupling
- Result: logical couplings among modules / subsystems



Example of a change report

Ver 2.4 — 96/03/12 10:10:07 TSS---PROGRAM CHANGE DESCRIPTION

ELEMENT NAME: Program 111 2.3 --> 2.4 CHANGED BY: John DOE CHANGES as follows:

CHANGE NR: 1 CHANGE TYPE: B // **bug fix** REFERENCE: BR 1443 // reference to a **bug report number** ERROR CLASS: A // **error class**, i.e. operation in working state DESCRIPTION: hanging of the circuits in environment xy.

CHANGE NR: 2



Change Reports Analysis





Résumé

- Identified modules and programs that should undergo restructuring / reengineering
- Detected potential logical coupling via change sequences
 - Stronger logical couplings via longer sequences
- Verified logical coupling via change reports



Visualizing Release Histories



Visualization

- Structure of the system: visualization of tree structure (2-D and 3-D)
- Software attributes: color, region filling
- Multiple releases: third dimension



The Database & Color Scale

Subsystem Level	Module Level	Program Level	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
AAA	BBB	AAAPRG	0	0	0	0	5	5	7	7	7	7	11	11	11	14	14	14	14	14	14	14
AAA	BBB	BBBPRG	0	0	0	0	5	5	7	7	7	7	11	11	11	14	14	14	14	14	14	14
AAA	BBB	CCCPRG	1	2	3	4	5	5	7	7	9	10	11	12	12	14	15	16	17	17	19	20
AAA	BBB	DDDPRG	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	17	17	17	17
AAA	BBB	EEEPRG	0	0	0	4	4	4	4	4	4	4	4	4	4	4	4	4	4	4	19	19
AAA	BBB	FFFPRG	0	0	0	4	5	5	7	7	9	10	11	12	12	14	15	16	17	18	19	20
AAA	CCC	000PRG	1	1	1																	
AAA	CCC	222PRG	1	2	2																	
AAA	CCC	333PRG	1	1	1																	
AAA	CCC	444PRG	1	1	1																	
AAA	CCC	555PRG	1	2	2																	
AAA	CCC	666PRG	1	1	1																	
AAA	DDD	XXXXPRG	1																			
AAA	DDD	YYYYPRG	1																			
AAA	DDD	ZZZZPRG	1																			
AAA	DDD	KKKKPRG	1																			
AAA	DDD	JJJJPRG	1																			
AAA	DDD	LLLLPRG	1																			











TSS visualized



Relation Analysis

Finding Class Couplings via Change Dependencies



Relation Analysis (RA)

- ideally, components could be changed independently of each other, but ...
- evolution of classes is compared to identify those that were most frequently changed together
- comparison is based on author name, date and time of the check-in of a particular change
- based on the strong code ownership in case study and time window (4 minutes) for check-in
- as a result RA reveals logical coupling
- the number of common changes = strength of the logical coupling



Case study: PACS

- A Picture Archiving and Communication System (PACS)
 - mission: medical pictures that doctors can view and archive for diagnosis; supporting different kinds of workstations:
 - viewing-only
 - additional diagnostic features allowing to change attributes of images, mark particular regions, sort and arrange pictures in sequences, annotate with information, etc.
 - implemented in Java, 5.500 classes (~500.000 LOC)
 - configuration files
 - analysis period: April 2000 July 2002, ie. 28 months
 - vendor wants to support product families





 \Box









Résumé

- RA combines all levels of decomposition: from classes to (sub-)modules through their CVS change data
- RA reveals many couplings (internal and external)
- Points to "bad smells":
 - spaghetti code, bad inheritance hierarchies, poorly designed interfaces, god classes, etc.
- Visualization simplifies understanding and navigation

Demain knowledge an important ingredient for interpretation

Populating a Release History Database

Providing qualitative history data for reasoning and visualization


Building a Release History DB

3 main sources:

- Modification reports (MR)

 CVS

- Relevant MRs and PRs are filtered, validated and stored in a Release History DB (RHDB)
- Problem: Identify change dependencies among system parts



Version control data

- A release represents a snapshot of the CVS repository for given software system
 - release number of product;
 - revision number of each file
 - for every release there is a symbolic name
 - branches as self-maintained lines of development



Case study: Mozilla

- Mozilla (www.mozilla.org)
 - analysis period: 1999-2002
 - 2.500 subdirectories
 - Source code: ~ 36.000 files, > 2 MLOC
 - CVS repository (revision information and modification reports)
 - > 180.000 bug reports
 - > 430.000 modification reports
 - Particular profiling data
 - Online documentation: roadmap, release notes, design documents



Example log-file from Mozilla source tree

RCS file: /cvsroot/mozilla/layout/html/style/src/nsCSSFrameConstructor.cpp,v Working file: nsCSSFrameConstructor.cpp head: 1.804 branch: symbolic names: MOZILLA_1_3a_RELEASE: 1.800 NETSCAPE 7 01 RTM RELEASE: 1.727.2.17

PHOENIX 0 5 RELEASE: 1.800

RDF_19990305_BASE: 1.46 RDF_19990305_BRANCH: 1.46.0.2 keyword substitution: kv total revisions: 976; selected revisions: 976 description:

revision 1.804

date: 2002/12/13 20:13:16; author: doe@netscape.com; state: Exp; lines: +15 -47 Don't set NS_BLOCK_SPACE_MGR and NS_BLOCK_WRAP_SIZE on ...

...

revision 1.638

date: 2001/09/29 02:20:52; author: doe@netscape.com; state: Exp; lines: +14 -4 branches: 1.638.4;

bug 94341 keep a separate pseudo frame list for a new pseudo block or inline frame ...



Bugzilla bug reports

- bug id: This ID is referenced in modification report. Since the IDs are stored as free text in the CVS repository, the information can not be reliably recovered from the change report database.
- bug status (status whiteboard): Describes the current state of the bug and can be unconfirmed, assigned, resolved, etc.
- product: Determines the product which is affected by a bug. Examples in *Mozilla* are Browser, MailNews, NSPR, Phoenix, Chimera, etc.
- component: Determines which component is affected by a bug. Examples for components in *Mozilla* are Java, JavaScrip, Networking, Layout, etc.
- dependson: Declares which other bugs have to be fixed first, before this bug can be fixed.
- blocks: List of bugs which are blocked by this bug.
- bug severity: blocker, critical, major, minor, trivial, enhancement
- target milestone: Possible target version when changes should be merged into the main trunk.

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Bugzilla snippet

bug id> 100069

status> VERIFIED product> Browser <version> other <rep platform> All <assigned to> doe@mozilla.org <delta ts> 20020116205154 <component> Printing: Xprint <reporter> doe@mozilla.org <target milestone> mozilla0.9.6

severity> enhancement <creation ts> 2001-09-17 08:56 <ga contact> doe@mozilla.org <op_sys> Linux <resolution> FIXED <short desc> Need infrastructure for new print dialog <keywords> patch, review <dependson> 106372 <body><blocks> 84947 <long desc> <who> doe@mozilla.org <bug_when> 2001-09-17 08:56:29

</long_desc>



Populating a Release History DB

- Problem = re-establishment of links between modification reports (MRs) and problem reports (PRs) since no mechanisms provided by CVS
- We used the PR-IDs found in the MRs of CVS
- PR-IDs in MRs are detected using a set of regular expressions. A match is rated according to the confidence value: high (h), medium (m), or low (l)

 confidence is considered high if expressions such as <keyword><ID> can be detected

confidence is considered low a six digit number just University appearing somewhere in the text of a modification report without preceding keyword

Import process







University of Zurich

Views on Mozilla evolution

- 50% of files have been modified in last quarter of observation
- although only 25% of files have been integrated



Mozilla evolution



Views on Mozilla evolution /2

modules





Résumé

- RHDB offers some characteristics for evolution analysis
 - linkage between changes and bugs (qualified)
 - files logically coupled via changes and bugs
 - branch/merge revision data
- data set as a basis for further analyses and visualizations (e.g. MDS-view)
- a basis for data exchange among research groups in the direction of a meta-model for release data



Multi-dimensional Visualization of Evolution Data

Allowing to locate hidden feature and module dependencies



Selection of Problem Reports

Filtering those concerned with admin issues

- "license foo" (PR-ID #98089, 7961 referenced files)
- "printfs and console window info needs to be boiled away for release builds" (#47207, 1135), or
- "Clean up SDK includes" (#166917, 888)
- "repackage resources into jar files" (#18433, 289)
- We used 255 as limit for the amount of bug reports to be accepted
 - no major or critical PRs filtered



Feature evolution

- Goal of the feature extraction process is to map the abstract concept of features onto a concrete set of files which implement a certain feature.
 - ... an observable and relatively closed behavior or characteristic of a (software) part [16]
- We first created a single statically linked version of Mozilla (v1.3a with the official freeze date 2002-12-10)
 - with profiling support enabled. From several test-runs where the defined scenarios were executed, we created the call graph information using the GNU profiler.
- The call graph information again was used to retrieve all functions and methods visited during the execution of a single scenario.

Scenarios and features

Scenario	Description	Feature	Color	Files
Core	mozilla start / blank window / stop	Core	White	705
HTTP	TrustCenter.de via HTTP ¹	Http	DeepPink	28
HTTPS	TrusterCenter.de via SSL/HTTP ²	Https	MediumGreen	6
File	read TrustCenter.de from file	-	-	-
MathML	mathematic in Web pages ³	MathMlExtension	YellowGreen	13
About	"about:" protocol	About	Gold	3
PNG	sample image ⁴	ImagePNG	DarkOrange	10
XML	XML Base ⁵	Xml	MediumOrchid	65
JPG	JPEG Karlskirche ⁶	ImageJPG	Cyan	16
fBlank	read blank html page from file ⁷	Html	DeepSkeyBlue	76
hBlank	blank html page via HTTP ⁸	-		-
ChromeGIF	Mozilla logo ⁹	ImageGIF	SlateBlue1	4
Image		Image	OrangeRed1	3



Multidimensional scaling

 the goal is to map objects to points in such a way that given dissimilarities are well approximated by the distances

 $|| x_i - x_i ||$ in a k-dimensional solution space.

- minimization of a stress function
- A problem report descriptor d_i of a problem report p_i is built of all artifacts a_n which refer to a particular problem report via their modification reports m_k

• $d_i = \{a_n \mid a_n \in \mathbb{R}, m_k \cap m_k \in \mathbb{R}, p_i\}$

 distance data for every pair of problem report descriptor <d_i, d_i> are computed

XGvis: a system for multidimensional scaling and Unigraphilayout in any dimension (research.att.com)

Views

- feature-view focuses on the problem report based coupling between the selected features
- project-view depicts the reflection of problem reports onto the structure of the project-tree (ie. directory structure)



Feature View /1





Feature View /2





Project view – structure & features









core & features



Results

- Final visualization:
 - all problem reports rated major or critical;
 - number of PR references: >= 50
 - resulting graph: 25 nodes, 215 edges via PRs
- Most critical subsystems are concerned with visualization – that's what we have seen via MDS
- Nodes with highest density in severe PRs are
 - .content (595 references)
 - .layout.html (438); .layout.xul.base (220); .layout (210)

Conclusions

- Software Evolution Analysis
 - integrates quantitative analysis and common change sequence analysis
 - helps to identify different types of architectural shortcomings
 - in combination with graphical representation facilitates the understanding of certain syste, characteristics
 - allows reasoning about a software system on a macro level (no source code analysis)
 - requires very little data to be kept
- Integrating modification reports and bug reports with feature profiling reveals many **couplings**Jniversity of Zurich
 Jepartmetrif Generatings among features (feature view)

Next steps

- Refine analysis and enhance visualization and navigation
- Integrate with other evolution analyses and evolution data in a SEA framework
 - bug report data
 - modification report data
 - test data and properties
 - feature information
 - multi-dimensional visualization



- Additional case studies
- More Papers at seal.ifi.unizh.ch/publications/



References

[Godfrey 2001]

